

CLIENT INVESTMENT REGISTRATION FORM

Sole/First Holder CIF ID:

Name of Sole/First Holder:

PAN Number:

Are you a Politically Exposed Person: ☐ Yes ☐ No ☐ Related to a Politically Exposed Person

Source of Income: ☐ Salary ☐ Business ☐ Gift ☐ Ancestral Property ☐ Rental Income ☐ Prize Money ☐ Other

Gross Annual Income Details: ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ 25 Lac - 1 Crore ☐ > 1 Crore

Joint Holder 1 / Guardian CIF ID:

Name of Joint Holder 1 / Guardian:

PAN Number:

Are you a Politically Exposed Person: ☐ Yes ☐ No ☐ Related to a Politically Exposed Person

Source of Income: ☐ Salary ☐ Business ☐ Gift ☐ Ancestral Property ☐ Rental Income ☐ Prize Money ☐ Other

Gross Annual Income Details: ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ 25 Lac - 1 Crore ☐ > 1 Crore

Joint Holder 2 CIF ID:

Name of Joint Holder 2:

PAN Number:

Are you a Politically Exposed Person: ☐ Yes ☐ No ☐ Related to a Politically Exposed Person

Source of Income: ☐ Salary ☐ Business ☐ Gift ☐ Ancestral Property ☐ Rental Income ☐ Prize Money ☐ Other

Gross Annual Income Details: ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ 25 Lac - 1 Crore ☐ > 1 Crore

FATCA INFORMATION / FOREIGN TAX LAWS (SELF CERTIFICATION)

Particular	Sole/First Holder	Joint Holder 1	Joint Holder 2
Tax Residency	<input type="checkbox"/> Indian <input type="checkbox"/> Other	<input type="checkbox"/> Indian <input type="checkbox"/> Other	<input type="checkbox"/> Indian <input type="checkbox"/> Other
Place of Birth (City)			
Country of Birth			
Country of Tax Residency			
Tax Reference Number			

Account No. 1:

Account No. 2:

DECLARATION

I/We hereby request Axis Bank Ltd. to register me/us, in the name and combination as detailed, for availing investment from the Bank. I/We confirm having read and understood the Most Important Terms & Conditions (Section 3) and the Other Terms & Conditions (Section 4) on Axis Bank Website and agree to abide by the same. I/We also understand that all the terms & conditions are subject to change from time to time.

In the event that there is a mismatch / discrepancy in the information provided herewith and / or in the Form for doing any transaction with the details registered for KYC with the KYC Registration Agencies (KRAs), I/We understand that the Bank may keep my / our Form(s) on hold and not process them till such time this mismatch / discrepancy is resolved by me / us. The Applicant(s) undertake(s) to promptly provide the Bank with any additional document(s) and / or details that may be required by the Bank for this purpose to help resolve the mismatch / discrepancy.

I/We hereby consent that the Bank can disclose any information pertaining to me / us, including my / our personal, FATCA details that is / are provided and / or disclosed by me/us in this Form, is/are as maintained in my banking relationship, to any of the Bank's affiliates, subsidiaries, Product providers & KRA KYC agency to register my KYC. I/We further provide my / our consent to the Bank, its affiliates and subsidiaries, Product providers to send details/offers with respect to any of the products / services directly or indirectly being offered by or through the Bank or its affiliates or subsidiaries to me/us via any means of communication including but not limited to FAX, short messaging service, e-mail, phone etc.

I/We have clearly understood the importance of Risk Profiling and understand the Investment profiler is Axis bank Limited's ("ABL") proprietary document & has been prepared based on ABL's understanding of assessing risk & suitability in line with the objectives & requirements. I/We Confirm that the information provided is at my own choice and/or I have responded to the questions to the best of ability, after considering the various requirements / possibilities / events for the next five years. If there is any change in my/our requirements. I/We will re-assess my / our Investment Profile and intimate Axis Bank immediately. I / We agree with the investment Risk profile as assessed by the Bank.

I/We hereby give the consent that the Bank can disclose any information pertaining to me / us, including my / our personal, FATCA details that is / are provided and / or disclosed by me/us in this Form, is/are as maintained in my banking relationship, to any of the Bank's affiliates, subsidiaries, Product providers & KRA KYC agency to register my KYC:

To appoint and engage brokers / agents / intermediaries for the purpose of the powers conferred herein and to give instructions and orders to such brokers / agents / intermediaries including but not limited to: instructions and orders for purchase, sale, transfer, switch, redeem, split, consolidate, offer for buyback units of the Securities and to pay remuneration brokerage, commission and other sums to the brokers / agents / intermediaries be debit to my / our Bank Account.

I/We authorise the Bank to facilitate the execution and settlement of transactions, as a service agent on my / our behalf, in the various investment products distributed by the Bank including but not limited to Mutual Funds, Specialized Investment Fund (SIF) and Bonds & Debentures (hereinafter referred to as 'Securities'), and to do the following upon specific written and / or electronic instructions such as facsimile, e-mail, mobile phone & tablets (including through Applications {Apps}), telephone, internet and / or such other electronic facilities that the Bank may permit from time to time from me / us in a manner acceptable to and as permitted by the Bank from time to time.

In the process of offering these services, the Bank may be required to share information relating to the services availed by you, Sensitive personal information, your transactions and / or your holdings to the regulators, auditors, other statutory bodies, third party Product Providers, their agents or intermediaries and subsidiaries or affiliates of Axis Bank. The Applicant(s) hereby authorise Axis Bank to share such information on a need basis.

☐ I give my consent to use my personal data for Axis Bank to develop and market and communicate their products and services to me.

We collect your personal and contact details, demographic and financial information, device identifiers, interaction records, and derived data for specific, well-defined purposes. In certain cases, we may also receive your information from trusted third parties such as service providers, lead-generation partners, credit bureaus, or publicly available sources. Whenever this happens, we ensure that your data is processed lawfully, backed by documented consent or contractual safeguards. Please read the Notice for the essential and mandatory purposes for which your personal data will be processed. We will never process your personal data without your explicit consent, unless permitted by law. The purposes include the following: • Service Delivery & Onboarding-We verify your identity, onboard you smoothly, provide secure personalized banking services, and validate access for safe transactions. • Security & Risk Management-We prevent fraud and illegal activities, check creditworthiness for responsible product offers, and resolve payment issues securely. • Regulatory & Compliance-We share and store information as required by law, provide details for lawful mergers or sales, and comply with regulatory obligations. • Customer Support & Experience-We maintain accurate records, use analytics to improve services, resolve complaints promptly, and review interactions for service enhancement and training. • Operational & Partner Support-We share necessary details with authorized partners, vendors and seek expert guidance to uphold strong governance for efficient operations. These are essential to give you the best banking experience and keep everything running safely and legally.

SECTION 2: AUTHORITY LETTER TO EXECUTE TRANSACTIONS

I/We, the sole/joint applicant(s), as per the details given above, hereby request and authorise Axis Bank to register:

- i. Me / us for availing the services wherein I/We will be permitted to give electronic instructions to the Bank for executing specific type of investment transactions using any mode of instructions such as facsimile, e-mail, mobile phone & tablets (including through Applications {Apps}), telephone, internet and / or such other electronic facilities that the Bank may permit from time to time;
- ii. The registered e-mail ID's as per Bank records for the purpose of accepting instructions through e-mail from me / us to accept, record and process relevant instructions issued by me / us / authorised signatories to the Bank using any of the channels, including the electronic /online channels and to further process and forward such instructions to the Product Provider / Authorised Agents for further processing and receiving acknowledgement for the same.

I/We agree and understand that this Letter of Authority is being executed by me / us to avail the following services in accordance with the Terms & Conditions (Section 3 and Section 4) governing the investment services available on Axis bank Website.

I/We authorise the Bank to facilitate the execution and settlement of transactions, as a service agent on my / our behalf, in the various investment products distributed by the Bank including but not limited to Mutual Funds/SIF and Bonds & Debentures (hereinafter referred to as 'Securities'), and to do the following upon specific written and / or electronic instructions such as facsimile, e-mail, mobile phone & tablets (including through Applications {Apps}), telephone, internet and / or such other electronic facilities that the Bank may permit from time to time from me / us in a manner acceptable to and as permitted by the Bank from time to time:

1. To subscribe to / purchase the Securities (including Systematic Investment Plans and Systematic Transfer Plans) in my / our names or to redeem / sell the Securities (including through Systematic Withdrawal Plan) solely / jointly held by me / us and also to issue instructions to debit my / our above mentioned bank account(s) maintained by me / us in my / our name with the Bank (hereinafter referred to as 'Bank Account') for the payment(s) for the Securities so subscribed / purchased or to receive the payment for such redemption/sale of the Securities, acknowledge receipt of the same and credit the proceeds (net of charges, if any), in my / our Bank Account.
2. To sign all such writings and do all such acts as may be required for redeeming / selling any units of Mutual Funds/SIF or for switching any units between schemes / plans of Mutual Funds/SIF.
3. To collect, receive and deposit monies to or to debits my / our Bank Account solely / jointly held by me / us, as may be necessary to give good and effectual receipts and discharges for any sum including dividend, interest or income arising from the units and to sign and endorse dividend and interest warrants.
4. To appoint and engage brokers / agents / intermediaries for the purpose of the powers conferred herein and to give instructions and orders to such brokers / agents / intermediaries including but not limited to: instructions and orders for purchase, sale, transfer, switch, redeem, split, consolidate, offer for buyback units of the Securities and to pay remuneration brokerage, commission and other sums to the brokers / agents / intermediaries be debit to my / our Bank Account.
5. To correspond with and give notice to the Product Providers, Issuers, corresponding Asset Management Company, Trustees, Transfer Agents, Settlement Agency of the Securities and / or such other agents / intermediaries appointed by them (hereinafter referred to as 'Product Providers'), including but not limited to instructions with regard to nomination, change in nomination, change in investment plans / any other changes that may be necessitated.
6. To mark a lien / pledge / hypothecate / create charge on units of Mutual Funds/SIF on my / our behalf, upon my / our specific instructions and to sign all such writings and do all such acts as may be required for such acts.
7. To accept my / our investment / transaction requests under Channel Partner arrangement with s or Survivor' mode of operation (when there is more than one holder) and same can be to be conveyed to the respective Product Providers for their execution. I/We don't have any objection on honouring my / our investment & redemption request by the respective Product Providers received through the Axis Bank Channel Partner arrangement with 'Anyone or Survivor' mode of operation even if the mode of operation in the folio maintained in the records of the respective Product Providers 'Jointly' I/We am / are aware of the fact that the respective Product Providers will execute my / our transaction request, submitted through a service provider other than the Axis Bank channel partner arrangement, will be processed if the transaction request is signed as per the mode of operation registered with the respective Product Providers and not as per Axis Bank record.
8. To do all such things, acts, activities to undertake and discharge the duties entrusted to Axis Bank through the Terms & Conditions listed in the Client Registration Form for availing investment services.
9. For addition or deletion of joint holder in the bank account, customer will have to submit a consent letter to make modifications in the Investment ID to keep the Investment ID active.

I/We hereby understand and accept the above arrangement and agree to indemnify and hold harmless, Axis Bank and its Group companies / subsidiaries, Product Providers, its Directors, and employees at all times against any and all claims, losses, liabilities, damages, costs or expenses (including attorney's fees) arising out of or incurred / suffered due to any action undertaken or activities performed on the basis of the information / documents furnished by me / us in / with this Form or at any time hereafter or due to our failure to furnish any information / document in / with this Form or at any time hereafter and also against all demands, actions, suits, proceedings, etc.; which may be made, filed, instituted against Axis Bank, in connection with or arising out of or relation to Axis Bank acting pursuant to or in accordance with our instructions issued through any channel, including but not limited to the branch, internet banking website, phone banking, tablet or mobile apps, kiosks and such other channels as may be offered and intimated by the Bank from time to time and / or signed or purported to be signed by the Authorised Persons/Signatories.

I/We understand and agree that all transactions executed through any of the self-service channels like internet website, mobile apps, etc. will be deemed to be 'execution only' transactions. In such cases, the Bank shall be under no duty or obligation to assess the prudence or otherwise of any instructions given by me / us and would be justified in acting on my / our instructions irrespective of their prudence or otherwise.

I/We understand that these services are being provided by the Bank subject to the following terms and conditions, which are in addition to the Most Important Terms and Conditions (Section 3) and Other Terms & Conditions (Section 4) listed in the bank Website.

- The electronic mode of giving instructions will be permitted by the Bank only for specific type of instructions and products, as may be permitted by the Bank from time to time. The permitted transactions may include but is not limited to subscription, redemption, switch, systematic investment plan (SIP), systematic withdrawal plan (SWP), systematic transfer plan (STP) of mutual fund/SIF units and / or such other transactions or investment products that the Bank may permit at a later date. The use of electronic channels for giving instructions shall be entirely at my / our risk, except in the case of gross negligence or willful misconduct by the Bank.
- Bank shall not be responsible for any failure / rejection of the instruction due to lack of availability of time for execution of such instructions. The Bank shall also not be liable for the consequences or any losses incurred by me / us due to the multiple Faxes / E-mails / instructions sent through different channels, for the same transaction by me to Axis Bank or the original instructions submitted later are not unambiguously so classified and superscripted by me. It shall be my sole responsibility to verify facts and if it is repetition, it is my responsibility and onus to mention that already sent on ...(date)... (Time). Any instructions received from any channels as mentioned above herein by the Bank shall be deemed to be authorised instructions and the Bank shall act on the same and shall not be liable for any losses incurred out of such transactions / instructions. Axis Bank shall not be bound to act upon instructions, which are illegible and if the Bank proceeds to carry out the instructions, the Bank's understanding thereof or action thereon, shall be treated as final.
- This Letter of Authority shall binding on me / us including my / our successors, legal heirs, assigns, as the case may be, and issued without prejudice to the Axis Bank's other rights or any delay in enforcing any of its rights and any waiver thereof by Axis Bank hereunder or available to it by law. All the requests made herein, and authorities given with the indemnity by me / us shall remain in full force unless and until notice of revocation thereof is received in writing by Axis Bank from me / us and Axis Bank has reasonable time to act upon the notice of revocation. However, such revocation shall not release me / us from any liability hereunder in respect of any act performed by Axis Bank, in accordance with the terms of this Letter prior to the expiry of such time.
- Axis Bank has at its sole discretion, offered this facility to me / us for allowing me to give instructions through any channel, which can be stopped / terminated by Axis Bank any time, upon giving 5 banking business day's prior written notice.
- Notwithstanding anything mentioned above herein, Axis Bank shall forthwith discontinue / terminate this facility, due to any change in any law or regulation which prohibits offering of such facility. However, any such termination shall not affect anything done or any rights or liabilities accrued or incurred prior to such termination and the indemnity given by me / us shall survive any such termination with respect to the transactions already executed based on prior instructions.
- In the process of offering these services, the Bank may be required to share information relating to the services availed by you, Sensitive personal information, your transactions and / or your holdings to the regulators, auditors, other statutory bodies, third party Product Providers, their agents or intermediaries and subsidiaries or affiliates of Axis Bank. The Applicant(s) hereby authorise Axis Bank to share such information on a need basis.
- This Letter of Authority shall be governed by Indian Laws and shall be subject to the exclusive jurisdiction of the courts in Mumbai.
- The signatory (ies) to this Letter of Authority hereby confirm and warrant that they are duly authorised to execute this Letter of Authority.

All the information provided and all the declarations made herein above by me / us are true and correct to the best of my knowledge and belief. I/We undertake to immediately notify and provide to the Bank the relevant details if there are any changes in the information. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I/We may be held liable for it.

Date:

Place:

	Sole/First Holder	Joint Holder 1	Joint Holder 2
Name			
Signature			

FOR OFFICE USE ONLY (SOURCING INFORMATION)

RM Name & Emp ID	RM Signature	Signature Verified by Branch Head/Ops Head Name	Branch Stamp & Sign